

Senniger, Powers, Leavitt & Roedel

Attorneys at Law

One Metropolitan Square, 16th Floor
Saint Louis, Missouri 63102

Telephone: 314-231-5400
Facsimile: 314-231-4342

www.senniger.com



030804

13146 U.S. PTO

Patents, Trademarks, Copyrights,
Technology Law, Antitrust, Litigation

John K. Roedel, Jr.

March 8, 2004



17548 U.S. PTO
10/795790



030804

UTILITY PATENT APPLICATION TRANSMITTAL (new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket Number: NVI 5268.2
First Named Inventor: Arindam Roy
Title: A PROCESS FOR ENZYMATICALY RESOLVING AN
ENANTIOMERIC MIXTURE OF α -HYDROXY ACIDS

Express Mail Label Number: EV271811991US

TO: Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

APPLICATION ELEMENTS

1. ☐ Fee Transmittal Form
(original and duplicate)
2. ☐ Applicant claims small entity status
3. ☒ Specification [Total Pages 81]
4. ☒ Drawings [Total Sheets 39]
5. Oath or Declaration [Total Pages]
 - a. ☐ Newly executed (original or copy)
☐ New (unexecuted)
 - b. ☐ Copy from a prior application
(for continuation/divisional with
Box 19 completed)
 - i. ☐ DELETION OF INVENTOR(s)
Signed statement attached
deleting inventor(s) named
in prior application.

6. ☐ Incorporation By Reference
(useable if Box 5b is marked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
7. ☒ Application Data Sheet
8. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
9. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form
- b. ☐ Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

10. ☐ Assignment Papers (cover sheet & document(s))
11. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
12. ☐ English Translation Document (if applicable)
13. ☐ IDS with PTO/SB/O8A ☐ Copies of IDS Citations
14. ☐ Preliminary Amendment
15. ☒ Return Receipt Postcard
16. ☐ Request and Certification for Non-Publication. Form PTO/SB/35 is attached.
17. ☐ Certified Copy of Priority Document(s) if foreign priority is claimed
18. ☐ Other: _____

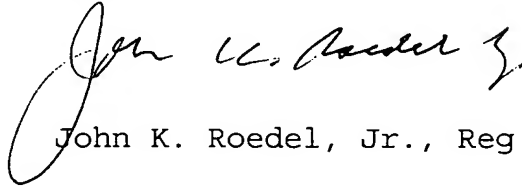
IF A CONTINUING APPLICATION, CHECK APPROPRIATE BOXES AND SUPPLY THE REQUISITE INFORMATION

19. ☐ Continuation ☐ Divisional ☐ Continuation-in-Part of prior application No.: _____
- ☒ Complete Application based on provisional Application Nos. 60/452,959, filed 03/07/03 and 60/453,355, filed 03/10/03.

CORRESPONDENCE ADDRESS

20. Correspondence Address: Customer Number 321
Attention: John K. Roedel, Jr.

Respectfully submitted,

A handwritten signature in dark ink, appearing to read "John K. Roedel, Jr.", with a large, stylized initial "J" and a flourish at the end.

John K. Roedel, Jr., Reg. No. 25,914

JKRcwa